

PATENT Attorney Docket Nº CLOA 99-1-1R1

## IN A NONPROVISIONAL PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; that I believe that I am the original, first and solc inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR PROCESSING TEXT AND CHARACTER DATA described and claimed in the specification as filed on <u>September 28, 2000</u> as U.S. Serial No. 09/675,441 via United States Postal Service Express Mail Label No EL 688 378 989 US.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37, C.F.R. §1.56(a).

# CLAIM FOR BENEFIT OF EARLIER U.S. APPLICATIONS UNDER 35 U.S.C. §120

I hereby claim the benefit under 35 U.S.C. §120 of any United States applications that are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in those prior applications in the manner provided by the first paragraph of 35, U.S.C. §112, we acknowledge the duty to disclose information that is material as defined 37 C.F.R. §1.56(a), which occurred between the filing date of the prior applications and the filing date of this application.

<u>USSN</u>

Filing Date

Inventor(s)

Status

## CLAIM FOR BENEFIT OF EARLIER

#### APPLICATIONS UNDER 35 U.S.C. §119(e)

I hereby claim priority benefits under 35 U.S.C. §119(e), of any applications for patent or inventor's certificate listed below:

<u>USPSN</u>

Filing Date

Inventor(s)

**Status** 

60/156,377

9/28/1999

Battilana

Converted

## CLAIM FOR BENEFIT OF EARLIER PCT APPLICATIONS UNDER 35 U.S.C. §119

I hereby claim foreign priority benefits under 35 U.S.C. §119, of any foreign applications for patent or inventor's certificate listed below:

PCT Application Nº

Filing Date

I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business with the Patent and Trademark Office connected therewith:

Sean Patrick Suiter, Reg. Nº: 34,260 Kenneth J. Cool, Reg. Nº: 40,570 Kevin E. West, Reg. Nº: 43,983 William J. Breen, III, Reg. Nº 45,313 Chad W. Swantz, Reg. Nº 46,329

Please address all telephone calls and correspondence to:

#### SEAN PATRICK SUITER

SUITER & ASSOCIATES PC 11516 Nicholas Street, Suite 205 Omaha NE 68154-4409 (402) 496-0300 (Telephone) (402) 496-0333 (Telecopier)

23531

PATENT TRADEMARK OFFICE

#### **DECLARATION**

I hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The Attorney of Record herein is hereby authorized to insert the Serial Number and filing

date when received from the United States Patent and Trademark Office

Dated: 12/22/2000

MICHAEL C. Battilana

Ludwig-Zeller-Str. 51 Freilassing, Germany A Citizen of: Germany

PTO/S8/09 (12-97) Approved for use through 9/30/00. QMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

### STATEMENT CLAIMING SMALL ENTITY STATUS Docket Number (Optional) **CLOA 99-1-1R1** (37 crf 1.9(f) & 1.27(b)) -- INDEPENDENT INVENTOR Applicant, Patentee, or Identifier: Michael C. Battilana Application or Patent No.: 09/675,441 Filed or Issued: September 28, 2000 Title: Method and Apparatus for Processing Text and Character Data As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in: the specification filed herewith with title as listed above. $\boxtimes$ the application identified above. the patent identified above. I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). Each person concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below: $\mathbf{X}$ No such persons, concerns, or organizations exist. Persons, concerns, or organizations are listed below: Separate verified statements are required from each named person, concern, or organization having rights to the invention averting to their status as small entities. (37 CFR 1.27) I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)) Mr. Michael C. Battilana NAME OF INVENTOR NAME OF INVENTOR NAME OF INVENTOR Signature of Inventor Signature of Inventor Signature of Inventor Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date



PTO/SB/10 (12-97)

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### STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN

**Docket Number (Optional) CLOA 99-1-1R1** 

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ļ	Applicant, Patentee, or Identifier: Michael C. Battilana Application or Patent No.:09 / 675 , 441
1	Filed or Issued: September 28, 2000
	Title: Method and Apparatus for Processing Text and Character Data
	I hereby state that I am
	the owner of the small business concern identified below:
Ì	an official of the small business concern empowered to act on behalf of the concern identified below:
	NAME OF SMALL BUSINESS CONCERN Cloanto Corporation
	ADDRESS OF SMALL BUSINESS CONCERN 5300 West Sahara Avenue, Suite 101, Las Vegas, NV 89146-031
	I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20418.
	I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:
	the specification filed herewith with title as listed above. the application identified above. the patent identified above.
	If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).
	Each person concern, or organization having any rights in the invention is listed below:  No such persons, concerns, or organizations exist.  each such person, concerns, or organization is listed below:
	Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)
	I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))
l	NAME OF PERSON SIGNING Michael C. Battilana
	TITLE OF PERSON IF OTHER THAN OWNER President
	ADDRESS OF PERSON SIGNING 5300 West Sahara Avenue, Suite 101, Las Vegas, NV 89146-0319
	SIGNATURE DATE 12/22/2000
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